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|-----------------------------|---|------------------------|--|
| State: | District of Columbia | Filing Company: | Travelers Casualty and Surety Company of America |
| TOI/Sub-TOI: | 17.0 Other Liability-Occ/Claims Made/17.0000 Other Liability Sub-TOI Combinations | | |
| Product Name: | CyberRisk Form Filing 2014-12-0061-EFF | | |
| Project Name/Number: | CyberRisk Form Filing 2014-12-0061-EFF/2014-12-0061-EFF | | |

Filing at a Glance

| | |
|---------------------------|--|
| Company: | Travelers Casualty and Surety Company of America |
| Product Name: | CyberRisk Form Filing 2014-12-0061-EFF |
| State: | District of Columbia |
| TOI: | 17.0 Other Liability-Occ/Claims Made |
| Sub-TOI: | 17.0000 Other Liability Sub-TOI Combinations |
| Filing Type: | Form |
| Date Submitted: | 09/04/2015 |
| SERFF Tr Num: | TRVE-130232931 |
| SERFF Status: | Submitted to State |
| State Tr Num: | |
| State Status: | |
| Co Tr Num: | 2014-12-0061-EFF |
| Effective Date | 07/01/2016 |
| Requested (New): | |
| Effective Date | 07/01/2016 |
| Requested (Renewal): | |
| Author(s): | Socorro Armstrong, Theresa Lavenburg, Timothy Bengston, Sandy J Olson, Linda Sperry, Stacy Mandelker, Julie Stuart |
| Reviewer(s): | |
| Disposition Date: | |
| Disposition Status: | |
| Effective Date (New): | |
| Effective Date (Renewal): | |

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General Information

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|--|-------------------------------|
| Project Name: CyberRisk Form Filing 2014-12-0061-EFF | Status of Filing in Domicile: |
| Project Number: 2014-12-0061-EFF | Domicile Status Comments: |
| Reference Organization: | Reference Number: |
| Reference Title: | Advisory Org. Circular: |
| Filing Status Changed: 09/04/2015 | |
| State Status Changed: | Deemer Date: |
| Created By: Linda Sperry | Submitted By: Linda Sperry |
| Corresponding Filing Tracking Number: | |

Filing Description:
2014-12-0061-EFF
CyberRisk (CYB)
Form Filing

Travelers Casualty and Surety Company of America, 3548-31194, 06-0907370

In compliance with the insurance laws and regulations of your jurisdiction, we respectfully submit the attached filing which consists of our request to change the effective date. This filing was previously approved by your department on March 23, 2015 under our filing number 2014-12-0061 and SERFF Tracking Number TRVE-129900093.

We would like to propose a new implementation date for this filing to be effective July 1, 2016 for all new and renewal business.

Should you have any questions, please feel free to contact me. Thank you for your consideration of this matter.

Company and Contact

Filing Contact Information

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|----------------------------------|------------------------|
| Linda Sperry, Regulatory Analyst | LSperry1@travelers.com |
| One Tower Square | 860-277-7096 [Phone] |
| S202B | |
| Hartford, CT 06183 | |

Filing Company Information

| | | |
|--|-------------------------|--------------------------------|
| Travelers Casualty and Surety Company of America | CoCode: 31194 | State of Domicile: Connecticut |
| One Tower Square | Group Code: 3548 | Company Type: |
| 2S2B | Group Name: | State ID Number: |
| Hartford, CT 06183 | FEIN Number: 06-0907370 | |
| (860) 277-0179 ext. [Phone] | | |

Filing Fees

| | |
|---------------|----|
| Fee Required? | No |
| Retaliatory? | No |

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Fee Explanation:

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| Product Name: | CyberRisk Form Filing 2014-12-0061-EFF | | |
| Project Name/Number: | CyberRisk Form Filing 2014-12-0061-EFF/2014-12-0061-EFF | | |

Form Schedule

| Item No. | Schedule Item Status | Form Name | Form Number | Edition Date | Form Type | Form Action | Action Specific Data | Readability Score | Attachments |
|----------|----------------------|--|---------------------|--------------|-----------|-------------|----------------------|-------------------|--------------------|
| 1 | | INVESTMENT ADVISER AND PRIVATE EQUITY FIRM ENDORSEMENT | CYB-19016 Ed. 01-16 | | END | New | | 60.000 | CYB-19016-0116.pdf |

Form Type Legend:

| | | | |
|------------|--|------------|----------------------------------|
| ABE | Application/Binder/Enrollment | ADV | Advertising |
| BND | Bond | CER | Certificate |
| CNR | Canc/NonRen Notice | DEC | Declarations/Schedule |
| DSC | Disclosure/Notice | END | Endorsement/Amendment/Conditions |
| ERS | Election/Rejection/Supplemental Applications | OTH | Other |

INVESTMENT ADVISER AND PRIVATE EQUITY FIRM ENDORSEMENT

This endorsement changes the following:

CyberRisk Policy

It is agreed that:

1. The following replaces section **II. DEFINITIONS, DDD. Subsidiary**:

Subsidiary means an entity in which the **Named Insured** directly or indirectly owns more than 50% of the outstanding voting securities representing the present right to vote for the election or appointment of directors, trustees, members of the board of managers, or functional or foreign equivalents, or, in the case of a non-profit entity, over which the **Named Insured** exercises management control: (i) as of the Inception Date set forth in ITEM 5 of the Declarations; or (ii) subject to section **IV. CONDITIONS APPLICABLE TO ALL INSURING AGREEMENTS, H. ACQUISITIONS OR CREATIONS OF SUBSIDIARIES: PURCHASE OF ASSETS OR ASSUMPTION OF LIABILITIES**.

Subsidiary does not mean a **Fund** or **Portfolio Company**.

2. The following is added to section **II. DEFINITIONS**:

Fund means any investment vehicle managed by the **Insured Organization**, including hedge funds, mutual funds, and private equity funds.

Portfolio Company means an entity in which a **Fund**, whether directly or through an investment vehicle, acquires or maintains a debt, equity, or convertible security interest as part of such **Fund's** portfolio.

3. The following replaces section **IV. CONDITIONS APPLICABLE TO ALL INSURING AGREEMENTS, H. ACQUISITIONS OR CREATIONS OF SUBSIDIARIES; PURCHASE OF ASSETS OR ASSUMPTION OF LIABILITIES**, 1, 2, and 3:

1. acquires or creates another entity, other than a **Portfolio Company**, or **Fund**, that as a result of such acquisition or creation becomes a **Subsidiary**;
2. acquires any entity, other than a **Portfolio Company**, or **Fund**, by such entity's merger into, or consolidation with, the Insured Organization such that the Insured Organization is the surviving entity; or
3. purchases assets or assumes liabilities of another entity, other than a **Portfolio Company**, or **Fund**, without acquiring such entity,

Nothing herein contained shall be held to vary, alter, waive, or extend any of the terms, conditions, exclusions, or limitations of the above-mentioned policy, except as expressly stated herein. This endorsement is part of such policy and incorporated therein.

Issuing Company:

Policy Number:

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Supporting Document Schedules

| | |
|-------------------|--|
| Satisfied - Item: | Readability Certificate |
| Comments: | Form CYB-19061 Ed. 01-16 has a Flesch Score of 60. |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |

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|------------------|--------------------------|
| Bypassed - Item: | Consulting Authorization |
| Bypass Reason: | Not applicable |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |

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|------------------|-------------------------|
| Bypassed - Item: | Copy of Trust Agreement |
| Bypass Reason: | Not applicable |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |

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|------------------|---|
| Bypassed - Item: | Expedited SERFF Filing Transmittal Form |
| Bypass Reason: | Not applicable |
| Attachment(s): | |
| Item Status: | |
| Status Date: | |